IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS MARSHALL DIVISION

ULTRAVISION TECHNOLOGIES, LLC

Plaintiff,

v.

LAMAR ADVERTISING COMPANY, LAMAR MEDIA CORP., THE LAMAR COMPANY, L.L.C., LAMAR TEXAS LIMITED PARTNERSHIP, LIGHTING TECHNOLOGIES, INC., AND IRVIN INTERNATIONAL, INC.

Defendants.

LAMAR ADVERTISING COMPANY, LAMAR MEDIA CORP., THE LAMAR COMPANY, L.L.C., LAMAR TEXAS LIMITED PARTNERSHIP, LIGHTING TECHNOLOGIES, INC., AND IRVIN INTERNATIONAL, INC.

Counterclaim Plaintiffs,

v.

ULTRAVISION TECHNOLOGIES, LLC

Counterclaim Defendant.

Civil Action No. 2:16-cv-374-JRG-RSP

JURY TRIAL REQUESTED

P.R. 4-3 JOINT CLAIM CONSTRUCTION AND PREHEARING STATEMENT

Plaintiff Ultravision Technologies, LLC ("Ultravision") and Defendants Lamar

Advertising Company, Lamar Media Corp., the Lamar Company, L.L.C., Lamar Texas Limit

Partnership, American Lighting Technologies, Inc. d/b/a Lighting Technologies, Inc., and Irvin

International, Inc. (collectively, "Defendants") respectfully submit the below Joint Claim

Construction and Prehearing Statement in accordance with P.R. 4-3. The patents asserted in this case for which claim construction is required are U.S. Patent No. 8,870,410 ("the '410 patent"),

U.S. Patent No. 8,870,413 ("the '413 patent"), U.S. Patent No. 9,212,803 ("the '803 patent"),

U.S. Patent No. 9,234,642 ("the '642 patent"), and U.S. Patent No. 9,349,307 ("the '307 patent")

(collectively, the "Asserted Patents").

I. AGREED TERMS AND AGREED CONSTRUCTIONS

The parties' agreed constructions are presented in **Appendix A**. The parties have agreed to the construction of three terms. Ultravision also agreed to drop one claim from one of the Asserted Patents.¹

II. DISPUTED TERMS

Following the meet and confer process pursuant to P.R. 4-2(c), the parties narrowed their disputes, but continue to dispute the construction of 6 terms. Each party's proposed constructions and identified support for the disputed claim term are presented in **Appendix B**.

III. ANTICIPATED LENGTH OF TIME NECESSARY FOR THE CLAIM CONSTRUCTION HEARING

The parties anticipate needing no more than three hours for the Claim Construction Hearing, currently scheduled to begin on April 26, 2017 at 1:30 p.m.

¹ Claim 9 of the '803 patent.

IV. ANTICIPATED WITNESSES TO BE PRESENTED AT THE CLAIM **CONSTRUCTION HEARING**

The parties do not expect to present live testimony of witnesses at the Claim Construction hearing. As noted in **Appendix B**, the parties may submit declarations from the inventors of the patents-in-suit (in support of Ultravision), and expert declarations from Dr. Zane Coleman (in support of Ultravision) and Dr. Julie Bentley and George Manyak (in support of Defendants).

V. OTHER ISSUES FOR PREHEARING CONFERENCE

As Defendants were finalizing their portion of the 4-3 submission, Ultravision filed for leave to amend its complaint. Ultravision's proposed amendment would add three patents and 77 claims to a case that already has 79 asserted claims. Defendants are in the process of reviewing these new patents and their file histories. Accordingly, Defendants reserve their right to amend their 4-3 statement and add additional terms for construction, and/or modify their proposed constructions on the basis of Ultravision's new allegations. Ultravision reserves its rights as well.

Respectfully submitted,

/s/ Samuel F. Baxter

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/s/ Brian McQuillen (with permission)

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CERTIFICATE OF SERVICE

I certify that on the 27th day of January, 2017, I electronically filed the foregoing with the Clerk of the Court and the same has been served on all counsel of record who are deemed to have consented to electronic service via the Court's CM/ECF system per Local Rule CV-5(a)(3).

/s/ Samuel F. Baxter

Samuel F. Baxter

APPENDIX A

AGREED TERMS

CLAIM TERM(S)	PARTIES' AGREED CONSTRUCTION	COURT'S CONSTRUCTION
"means for emitting light" (governed by 35 U.S.C. § 112(6))	35 U.S.C. § 112(6) function:	
'803: 1, 2	emitting light	
	35 U.S.C. § 112(6) structure:	
	LEDs 416 and 804 described in '803 at 4:39-44, 8:6-13; and equivalents thereof	
"means for mounting the plurality of means for emitting light" (governed by 35 U.S.C. § 112(6))	35 U.S.C. § 112(6) function:	
	mounting the plurality of means for emitting light	
'803: 1	35 U.S.C. § 112(6) structure:	
	a printed circuit board (PCB) 204, 402 and 802 described in '803 at 3:26-29, 4:28-56, 8:6-8; and equivalents thereof	
"means for conducting heat" (governed by 35 U.S.C. § 112(6))	35 U.S.C. § 112(6) function:	

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APPENDIX A

CLAIM TERM(S)	PARTIES' AGREED CONSTRUCTION	COURT'S CONSTRUCTION
	conducting heat	
'803: 1	35 U.S.C. § 112(6) structure:	
	a heat sink 322a-322N and 708 described in '803 at 4:11-27, 7:43-64, Figs. 3B, 7A-B; and equivalents thereof	

DISPUTED TERMS²

DISPUTED TERM(S)	ULTRAVISION'S PROPOSED CONSTRUCTION AND SUPPORT ³	DEFENDANTS' PROPOSED CONSTRUCTION AND SUPPORT	COURT'S CONSTRUCTION
"substantially uniform"	No construction necessary	Indefinite	
'410: 10, 27	If Court deems construction necessary, "does not create hot spots or dead spots"	<u>Intrinsic Evidence</u>'410 Patent and File History:	
	Intrinsic Evidence See, e.g., '410 patent at Abstract, 1:18-29, 2:49-64, 5:4-6:52, claims 1-33	See, e.g., Amendment (June 5, 2014); '410 patent at claims 1-33, Abstract, 2:49-64, 5:9-21, 5:36-6:52.	
	Extrinsic Evidence	'803 Patent and File History:	
	Merriam-Webster's Collegiate Dictionary, Eleventh Edition (2011)	See, e.g., Non-Final Rejection (July 16, 2015); Amendment (October 16, 2015); '803 patent at Abstract, claims 1-18.	
	Plaintiff's P.R. 3-1 Infringement Contentions and the materials referenced therein	'642 Patent:	
	LAMAR0005981	See, e.g., '642 patent at title, claims 1-24.	
	OAAA LED Lighting Guidance for Outdoor Advertising Owners and Operators Potential testimony of Ultravision's expert,	'307 Patent: See, e.g., '307 patent at claims 2, 3, 12-14, 21, 27.	

² Per the parties' correspondence, the parties provide this chart to facilitate the meet and confer process. The parties' citations to the intrinsic record are preliminary. The parties reserve the right to further modify their citations.

³ The parties reserves the right to rely on any evidence identified by the opposing parties.

⁴ By citing to the specification of one of the patents-in-suit, the parties reserve the right to refer to the corresponding portions of the specifications of the remaining patents-in-suit and/or related patents and patent applications.

DISPUTED TERM(S)	ULTRAVISION'S PROPOSED CONSTRUCTION AND SUPPORT ³	DEFENDANTS' PROPOSED CONSTRUCTION AND SUPPORT	COURT'S CONSTRUCTION
	Dr. Zane Coleman, and/or the inventors. Dr. Zane Coleman and/or the inventors may testify about their backgrounds, the	US Patent Application No. 14/968,520 File History:	
	background of the subject matter discussed in the patents-in-suit, and the skill level of	See, e.g., Notice of Allowance (March 17, 2016).	
	one of ordinary skill in the art. They may also offer testimony in support of Ultravision's proposed claim constructions,	US Patent Application No. 15/216,562 File History:	
	and in response or in rebuttal to any arguments or expert testimony offered by Defendants.	See, e.g., Non-Final Rejection (November 18, 2016).	
		US Patent No. 9,514,663:	
		See, e.g., '663 Patent at title, Abstract, claims 1-28.	
		Cited References in the Asserted Patents:	
		See, e.g., US Patent Application No. 2013/0291414 A1; US Patent Application No. 2011/0149548; US Patent No. 6,837,605; US Patent No. 6,582,103; US	
		Patent Application No. 2008/0084693; US Patent No. 7,618,162 B1; US Patent	
		Application No. 2011/0038151; US	
		Patent No. 8,662,704; US Patent Application No. 2004/0004827 A1; US	
		Patent Application No. 2014/0168998;	
		US Patent Application No. 2014/0373348; US Patent No. 8,215,814;	

DISPUTED TERM(S)	ULTRAVISION'S PROPOSED CONSTRUCTION AND SUPPORT ³	DEFENDANTS' PROPOSED CONSTRUCTION AND SUPPORT	COURT'S CONSTRUCTION
		US Patent No. 8,801,221. Extrinsic Evidence Potential testimony of Defendants' Experts, Dr. Julie Bentley and George Manyak. If asked to testify, Defendants'	
		Experts would testify as to subject areas described above as they pertain to this claim term/phrase, including why one of ordinary skill in the art would not have understood the scope of this term with reasonable certainty.	
"minimal amount of illumination" /	No construction necessary	Indefinite	
"minimum illumination"	If Court deems construction necessary, "avoids light pollution"	<u>Intrinsic Evidence</u> '413 Patent:	
'413: 16	Intrinsic Evidence	See, e.g., '413 patent at 2:56-3:4, 5:11-	
'642: 21	See, e.g., '413 patent at 2:56-3:4, 5:11-6:59, claims of '413, '642, and '307 patents	28, 5:43-6:37.	
'307: 22, 28	Extrinsic Evidence Merriam-Webster's Collegiate Dictionary, Eleventh Edition (2011) Plaintiff's P.R. 3-1 Infringement Contentions and the materials referenced therein	'642 Patent and File History: See, e.g., Interview Summary (November 2, 2015); Amendment (November 10, 2015); Supplemental Amendment (November 12, 2015); '642 patent at 2:63-3:11, 5:18-35, 5:50-6:67.	

DISPUTED TERM(S)	ULTRAVISION'S PROPOSED CONSTRUCTION AND SUPPORT ³	DEFENDANTS' PROPOSED CONSTRUCTION AND SUPPORT	COURT'S CONSTRUCTION
	LAMAR0005981	'307 Patent:	
	OAAA LED Lighting Guidance for Outdoor Advertising Owners and Operators	See, e.g., '307 patent at 3:17-32, 5:41-58, 6:6-67.	
	International Dark-Sky Association website (http://darksky.org/) (including	Cited References in the Asserted Patents:	
	http://darksky.org/wp-content/uploads/bsk-pdf-manager/16_MLO_FINAL_JUNE2011.PDF) LTI005047	See, e.g., US Patent Application No. 2005/0018428 Al; US Patent No. 8,573,815; US Patent Application No. 2010/0014290; US Patent Application No. 2011/0219650.	
	Potential testimony of Ultravision's expert, Dr. Zane Coleman, and/or the inventors. Dr. Zane Coleman and/or the inventors may testify about their backgrounds, the background of the subject matter discussed in the patents-in-suit, and the skill level of one of ordinary skill in the art. They may also offer testimony in support of Ultravision's proposed claim constructions, and in response or in rebuttal to any arguments or expert testimony offered by Defendants.	Extrinsic Evidence Potential testimony of Defendants' Experts, Dr. Julie Bentley and George Manyak. If asked to testify, Defendants' Experts would testify as to subject areas described above as they pertain to this claim term/phrase, including why one of ordinary skill in the art would not have understood the scope of this term with reasonable certainty.	
"substantially no illumination"	No construction necessary	Indefinite	
'410: 12, 28	Intrinsic Evidence	Intrinsic Evidence	
	See, e.g., '413 patent at 2:56-3:4, 5:11-6:59,		

DISPUTED TERM(S)	ULTRAVISION'S PROPOSED CONSTRUCTION AND SUPPORT ³	DEFENDANTS' PROPOSED CONSTRUCTION AND SUPPORT	COURT'S CONSTRUCTION
'413: 2, 17	claims of '410 and '413 patents	'413 Patent:	
	Extrinsic Evidence	See, e.g., '413 patent at 2:56-3:4, 5:11-28, 5:43-6:37.	
	Merriam-Webster's Collegiate Dictionary, Eleventh Edition (2011)	'410 Patent:	
	Plaintiff's P.R. 3-1 Infringement Contentions and the materials referenced therein	See, e.g., '410 patent at 2:49-64, 5:9-21, 5:36-6:52.	
	LAMAR0005981	'642 Patent and File History:	
	OAAA LED Lighting Guidance for Outdoor Advertising Owners and Operators	See, e.g., Interview Summary (November 2, 2015); Amendment (November 10, 2015); Supplemental Amendment	
	International Dark-Sky Association website (http://darksky.org/) (including	(November 12, 2015); '642 patent at 2:63-3:11, 5:18-35, 5:50-6:67.	
	http://darksky.org/wp-content/uploads/bsk-pdf-	Cited References in the Asserted Patents:	
	manager/16_MLO_FINAL_JUNE2011.PDF)	See, e.g., US Patent Application No. 2005/0018428 Al; US Patent No.	
	LTI005047	8,573,815; US Patent Application No. 2010/0014290; US Patent Application	
	Potential testimony of Ultravision's expert, Dr. Zane Coleman, and/or the inventors. Dr.	No. 2011/0219650.	
	Zane Coleman and/or the inventors may testify about their backgrounds, the	Extrinsic Evidence	
	background of the subject matter discussed in the patents-in-suit, and the skill level of	Potential testimony of Defendants' Experts, Dr. Julie Bentley and George Manyak. If asked to testify, Defendants'	
	one of ordinary skill in the art. They may	Experts would testify as to subject areas	

DISPUTED TERM(S)	ULTRAVISION'S PROPOSED CONSTRUCTION AND SUPPORT ³	DEFENDANTS' PROPOSED CONSTRUCTION AND SUPPORT	COURT'S CONSTRUCTION
	also offer testimony in support of Ultravision's proposed claim constructions, and in response or in rebuttal to any arguments or expert testimony offered by Defendants.	described above as they pertain to this claim term/phrase, including why one of ordinary skill in the art would not have understood the scope of this term with reasonable certainty.	
"uniformly illuminate"/"uniform illumination"	No construction necessary If Court deems construction necessary, "does	Indefinite Intrinsic Evidence	
'642: 13	not create hot spots or dead spots" Intrinsic Evidence	'803 Patent and File History:	
'307: 12, 13 '803: 16	See, e.g., '410 patent at Abstract, 1:18-29, 2:49-64, 5:4-6:52, claims of '642, '307, and	See, e.g., Amendment (October 16, 2015); '803 patent at claims 1-18, 2:63-3:11, 5:18-35, 5:50-6:67.	
	'803 patents Extrinsic Evidence	'642 Patent and File History:	
	Merriam-Webster's Collegiate Dictionary, Eleventh Edition (2011)	See, e.g., Amendment (October 23, 2015); '642 patent at Abstract, claims 2-4, 10, 12-14, 19, 2:63-3:11, 5:18-35, 5:50-6:67.	
	Plaintiff's P.R. 3-1 Infringement Contentions and the materials referenced therein	'307 Patent:	
	LAMAR0005981	See, e.g., Application (January 11, 2016); '307 patent at claims 2, 3, 12, 14, 20, 21,	
	OAAA LED Lighting Guidance for Outdoor Advertising Owners and Operators	3:17-32, 5:41-58, 6:6-67. '410 Patent and File History:	
	Potential testimony of Ultravision's expert, Dr. Zane Coleman, and/or the inventors. Dr.	See, e.g., Amendment (June 5, 2014);	

DISPUTED TERM(S)	ULTRAVISION'S PROPOSED CONSTRUCTION AND SUPPORT ³	DEFENDANTS' PROPOSED CONSTRUCTION AND SUPPORT	COURT'S CONSTRUCTION
	Zane Coleman and/or the inventors may testify about their backgrounds, the background of the subject matter discussed	'004 patent at Abstract, claims 4, 5, 10, 27, 2:49-64, 5:9-21, 5:36-6:52.	
	in the patents-in-suit, and the skill level of	US Patent No. 9,514,663:	
	one of ordinary skill in the art. They may also offer testimony in support of Ultravision's proposed claim constructions, and in response or in rebuttal to any	See, e.g., '663 Patent at title, Abstract, claims 1-28.	
	arguments or expert testimony offered by Defendants.	US Patent Application No. 15/162,278 File History:	
		See, e.g., Application (May 23, 2016); Preliminary Amendment (July 1, 2016).	
		Cited References in the Asserted Patents:	
		See, e.g., US Patent Application No. 2013/0,135,861; US Patent No.	
		7,618,162; US Patent Application No. 2005/0018428; US Patent Application	
		No. 2011/0038151; William A. Parkyn,	
		Segmented Illumination Lenses for Steplighting and Wall-Washing, SPIE	
		Vol. 3779, (1999); US Patent No.	
		7,674,019 B2; US Patent No. 7,753,561;	
		US Patent No. 8,210,723 B2; US Patent No. 8,922,734 B2; US Patent No.	
		9,046,293 B2; Hsiao-Wen Lee et al.,	
		Improvement of Illumination Uniformity,	
		20 Optics Express, No. S6, (2012); US Patent Application No. 2004/0004827	

DISPUTED TERM(S)	ULTRAVISION'S PROPOSED CONSTRUCTION AND SUPPORT ³	DEFENDANTS' PROPOSED CONSTRUCTION AND SUPPORT	COURT'S CONSTRUCTION
		A1; Li Cheng et al., <i>The Research of LED Arrays for Uniform Illumination</i> , 4 Advances In Information Sciences and Services Sciences (AISS), No. 10 (2012); Allen Jong-Woei Whang et al., <i>Designing Uniform Illumination Systems by Surface-Tailoring Lens and Configurations of LED Arrays</i> , 5 Journal of Display Technology, No. 3 (2009);US Patent No. 8,210,723; US Patent No. 8,602,599; US Patent App. No. 2011/0149548 A1; US Patent App. No. 2013/0291414 A1; US Patent Application No. 2014/0168998; US Patent Application No. 2014/0373348; US	
		Patent No. 8,215,814; US Patent No. 8,801,221. Extrinsic Evidence Ivan Moreno et al., Effects on illumination uniformity due to dilution on arrays of LEDs, SPIE vol. 5529 (2005). Potential testimony of Defendants' Experts, Dr. Julie Bentley and George Manyak. If asked to testify, Defendants' Experts would testify as to subject areas described above as they pertain to this claim term/phrase, including why one of ordinary skill in the art would not have	

DISPUTED TERM(S)	ULTRAVISION'S PROPOSED CONSTRUCTION AND SUPPORT ³	DEFENDANTS' PROPOSED CONSTRUCTION AND SUPPORT	COURT'S CONSTRUCTION
		understood the scope of this term with reasonable certainty.	
"optical element comprises a first element a second element and a third element" '803: claims 3, 12 '642: claims 16-17,	No construction necessary If Court deems construction necessary, only "optical element" in the identified phrases should be construed. Ultravision objects to the selective excising of terms from an overall quoted phrase. Despite multiple efforts to crystalize this issue, Ultravision	"an assembly of lenses, distinct from a single reflecting prism" Intrinsic Evidence Claims of the '642, '803, and '307 patents	
"optical elements each including a first portion, a second portion and a third portion" '642: claims 1 '307: claims 1, 20	still does not understand what claim language Defendants are asking the Court to construe because the phrases that they have identified contain ellipses making it unclear what claim language would be substituted with Defendants' proposed construction. It is confusing what precisely is being identified as needing construction in the context of the overall phrase.	'803 Patent and File History: See, e.g., '803 patent at Abstract, 3:29- 32, 8:14-30, Figs. 5A-5D, 8A-8J (and associated text); Examiner's Non-Final Rejection (July 17, 2016); Applicant's Amendments and Remarks (Oct. 16, 2015). Cited References in the Asserted Patents:	
"optical elements each include a first portion, a second portion and a third portion"	If Court deems construction necessary: "optical element" in '803:3, 12 and '642:16- 17, 23-24 – "lens that comprises multiple elements" "optical element" in '642:1 and '307:1, 15,	US 8,002,435 Extrinsic Evidence Potential testimony of Defendants' Experts, Dr. Julie Bentley and George Manyak. If asked to testify, Defendants' Experts would testify as to subject areas	

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⁵ Defendants have directed Ultravision to authority indicating that the use of an ellipsis for identifying a claim term for construction is common and entirely appropriate. Ultravision has not articulated how Defendants' use of an ellipsis to identify particular claim terms is contrary to the common practice of district courts and the Federal Circuit.

DISPUTED TERM(S)	ULTRAVISION'S PROPOSED CONSTRUCTION AND SUPPORT ³	DEFENDANTS' PROPOSED CONSTRUCTION AND SUPPORT	COURT'S CONSTRUCTION
"each optical element including a first portion, a second portion and a third portion" "307: claim 25	Intrinsic Evidence See, e.g., '803 patent at Abstract, 2:4-6, 5:11-20, 5:40-62, 6:13-7:12, 8:1-30, Figs. 5A-5D, 8A-8J, claims of '410, '413, '642, '803, and '307 patents Extrinsic Evidence Merriam-Webster's Collegiate Dictionary, Eleventh Edition (2011) Plaintiff's P.R. 3-1 Infringement Contentions and the materials referenced therein OAAA LED Lighting Guidance for Outdoor Advertising Owners and Operators US 8,002,435 Warren J. Smith, Modern Optical Engineering (4th ed. 2008) Warren J. Smith, Modern Lens Design (2d 2005) Potential testimony of Ultravision's expert,	described above as they pertain to this claim term/phrase. Warren J. Smith, <i>Modern Optical Engineering</i> 128-29, 716 (4th ed. 2008) Warren J. Smith, <i>Modern Lens Design</i> 609 (2d 2005)	

DISPUTED TERM(S)	ULTRAVISION'S PROPOSED CONSTRUCTION AND SUPPORT ³	DEFENDANTS' PROPOSED CONSTRUCTION AND SUPPORT	COURT'S CONSTRUCTION
	Dr. Zane Coleman, and/or the inventors. Dr. Zane Coleman and/or the inventors may testify about their backgrounds, the background of the subject matter discussed in the patents-in-suit, and the skill level of one of ordinary skill in the art. They may also offer testimony in support of Ultravision's proposed claim constructions, and in response or in rebuttal to any arguments or expert testimony offered by Defendants.		
"means for directing light"	No construction necessary; this term is not governed by 35 U.S.C. § 112(6)	35 U.S.C. § 112(6) function:	
'803 : 1	Intrinsic Evidence	directing light	
005. 1	See, e.g., '803 patent at Abstract, 4:57-5:20, 8:14-30, Figs. 5A-5D, 8A-8J, claims 1-18	35 U.S.C. § 112(6) structure:	
	Extrinsic Evidence	optical element, as shown in Figs. 8A-8J and described in col. 8, ll. 14-30.	
	Merriam-Webster's Collegiate Dictionary, Eleventh Edition (2011)	Intrinsic Evidence	
	Plaintiff's P.R. 3-1 Infringement Contentions	'803 Patent and File History:	
	and the materials referenced therein	See, e.g., '803 patent at Abstract, 3:29-32, 8:14-30, Figs. 8A-8J (and associated	
	OAAA LED Lighting Guidance for Outdoor Advertising Owners and Operators	text), claims 1, 3-4, 12, 16; Examiner's Non-Final Rejection (July 17, 2016); Applicant's Amendments and Remarks	

DISPUTED TERM(S)	ULTRAVISION'S PROPOSED CONSTRUCTION AND SUPPORT ³	DEFENDANTS' PROPOSED CONSTRUCTION AND SUPPORT	COURT'S CONSTRUCTION
	Potential testimony of Ultravision's expert, Dr. Zane Coleman, and/or the inventors. Dr. Zane Coleman and/or the inventors may testify about their backgrounds, the background of the subject matter discussed in the patents-in-suit, and the skill level of one of ordinary skill in the art. They may also offer testimony in support of Ultravision's proposed claim constructions, and in response or in rebuttal to any arguments or expert testimony offered by Defendants.	(Oct. 16, 2015); Applicant Initiated Interview Summary (Nov. 9, 2015); Office Action Appendix (Nov. 9, 2015). Extrinsic Evidence Potential testimony of Defendants' Experts, Dr. Julie Bentley and George Manyak. If asked to testify, Defendants' Experts would testify as to subject areas described above as they pertain to this claim term/phrase, including the structure(s) disclosed in the relevant patent-in-suit for performing the relevant claimed function(s).	